

# Horowitz Foundation for Social Policy

1997–2011



An independent foundation for  
the support and advancement  
of social science research



[www.horowitz-foundation.org](http://www.horowitz-foundation.org)

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The Board of Trustees is currently composed of fifteen members. The chairman and vice-chairman serve as permanent members. Each member rotates serially for term appointments. This listing is as of June 2010.

# EXECUTIVE REPORT 2010

The quality of applicants for Foundation grants in social policy continues to be impressive. The movement to link social science theory and research to public policy actions is astonishing in its numbers as well as quality. This in itself augurs well for our foundation and its efforts to improve the results of this new fusion of theory, research and practice. It can hardly be over-emphasized that such a development takes place with a near complete absence of dogmatism, tendentiousness, or prejudicing outcomes. The increased number of applicants itself speaks to this remarkable shift from ideology to science as a mode of policy analysis. As a consequence, awards for recent applicants have grown steadily over recent years.

At the same time, certain serious problems remain, and are illustrated by the more than two thousand five hundred applications examined in depth since the awards began. First, a large number of applicants continue to confuse narrative description with policy recommendation. The simple outline of events over time does not satisfy the implicit notion that public policy carries analysis followed by programs for remedial activity. Second, many proposals simply assume that nation-building is the same as policy implementation; and this rests on a too often narrow empiricism in which general concerns of widespread applicability are absent beyond the examples cited. A third problem is the reduction of social science inquiry into journalistic “op ed” statements.

What makes public policy based on social science data and theory so difficult to implement is the gap between ends and means. Some researchers presume that goals are self-evident and policies are calibrated to proper instruments. For others, goals are so cloudy as not to be worth the trouble of consideration. Either way social policy studies became mired in micro-management. In many examples, from HIV-AIDS research to environmental protection one finds a reticence of researchers to challenge commonly accepted verities, even though the evidence may point

in different directions than imagined. This is a tension found even in outstanding research proposals. It might well be that such strains are pandemic to the conduct of social research as a whole, and are hardly confined to any sub-set of applications. But each applicant must address such issues in his or her own way.

These larger issues speak to the need of our Foundation to move into a broader arena, one in which senior scholars are invited to provide stronger directions, and in which funds can be dedicated to generate new forms of data and field investigations. This will take greater fiscal investment on our part and a more decisive role in shaping current theory, rather than be confined to specific single issues in social scientific policy analysis. To move in these new directions indicates the need for our foundation to help shape a dialogue, not only with students and scholars, but ways in which we can advance a common vision with other like-minded policy agencies.

Irving Louis Horowitz  
Chairman, *HFSP*

Mary E. Curtis  
*Vice Chairman and Trustee*

## HISTORY AND PURPOSE 1997-2011

The Horowitz Foundation for Social Policy was established in 1997 as a not-for-profit 501(c)(3) organization to support the advancement of research and understanding in the major fields of the social sciences: specifically, in the fields of psychology, anthropology, sociology, economics, urban affairs, area studies, political science, and other disciplines. The Foundation aims to achieve its objectives primarily through direct assistance to individual scholars who require small grants to further their research with emphasis on policy-oriented studies.

Larger philanthropic organizations often overlook, or cannot accommodate, small grants for worthwhile projects or research in progress. Scholars in the initial stages of their careers, those not yet established, and those working at less prominent or overseas institutions in particular may have difficulty in securing funding for research. The HFSP seeks to fill this need by providing grants for smaller projects, in whole or part. By so doing, it intends to support policy-oriented research in the social science community, as well as to alert major foundations and academic institutions to the scholarship of social scientists with policy interests.

Irving Louis Horowitz and Mary E. Curtis established the Horowitz Foundation as an expression of their commitment to the social science community. In 1962 Horowitz founded Transaction, a publisher of record in international social sciences. From 1993 to 1997, prior to the establishment of the foundation, he provided assistance to social science scholars through Transaction Publishers' Grants-in-Publication Program.

While certain Transaction personnel serve as Trustees and administer the Foundation's activities without compensation, the grant making activities of the foundation are entirely separate from the business activities of Transaction. Recipients have no publishing obligation to Transaction's publishing program. However, they are responsible for acknowledging funding support by the Foundation. They also are expected to send the Foundation a final report on their project upon its completion.

## POLICY AND GOALS

As the Horowitz Foundation enters its fourteenth year of operations, certain developments merit attention. The most significant is the emergence of a foundation organizational structure that better matches the Foundation's aims. The Foundation has adopted term membership on the Board of Trustees. This ensures an infusion of new people, while providing also for stability by continuation of Trusteeship for a period of years. The endowment of the Foundation has been substantially increased, which in turn makes possible awards to a larger group of grant applicants. In this organizational evolution, the Foundation is becoming established as an ongoing activity apart from its professional or familial origins.

Its early years have demonstrated a need for a foundation supporting policy-oriented research across a variety of social science disciplines. The number of applicants, especially younger scholars, interested in and able to perform at the highest research levels of policy analysis, has grown each year. The marriage of social science and social policy—the dream of many founders of the empirical tradition in research—has finally been consummated.

This has not been easy. Theorists have had to become convinced that the notion of policy is not a subterfuge for importing ideologies and special interests into the research agenda. "Practitioners" have similarly had to understand that demands for data and evidence do not frustrate but enhance honest proposals for policy-making. The range and quality of projects proposed to the Horowitz Foundation underscores this general trend toward a fusion of social science and social policy based upon public need.

The Horowitz Foundation is part and parcel of this general trend in social research. But it has unique qualities as well. The Foundation recognizes the potential for policy applications in work emerging from established social sciences such as sociology, economics, psychology, political science, history and anthropology. It recognizes the potential of new areas of social discourse, ranging from evaluation research to urban studies.

The explosion of social research in communication studies, demography, gerontology, criminology, and health and welfare, offers new challenges for those interested in teasing out the policy implications of work in such fields. In all of these broad areas—new and old alike—the Horowitz Foundation will support research that defines the contours and content of policy-oriented research. The Foundation intends to meet the challenge of identifying the most deserving work in these areas, and in so doing, to serve the public good in the years ahead.

# NEW TRANSACTION AWARDS

**Expansion of the original May 23, 1997 Foundation Charter of the *Horowitz Foundation for Social Policy*. Submitted for Approval to the Board of Directors on April 26, 2008.**

Due to substantial increases in support of the Horowitz Foundation for Social Policy from Transaction Publishers, resulting from the sale and spin-off of its periodical division, the Foundation activities for special projects in the area of public policy can be expanded to include grants made to senior researchers, scholars and policy analysts at their affiliated universities and research agencies.

Such applications for support will be based on the same criteria of improving the relationship between social science and public policy, but on a scale that may involve more than a single grant recipient. Funding for such activities may involve a senior scholar or analyst, working to produce a collection of original essays dedicated to the overall theory and practice of specific research areas.

Grants will be made directly to universities and policy agencies with an understanding that such grants are to be supervised by a senior scholar designated by the Foundation, and approved by the university or agency at which that person is located. The university or agency will assume responsibility for hosting a conference, providing the promotion and support for the conference, and supervising the disbursement of funds as agreed upon by the foundation and the institution.

As examples of the sort of conferences being proposed and under active consideration by the Foundation are the following: (1) the impact of cellular technology on public policy options in decision-making; (2) legal and ethical issues in creating new public policies targeting ethnic and religious groups in larger urban regions (3) changing library services, challenges, and obligations utilizing advanced information technologies.

Large-scale grants require applicants to submit brief pre-proposals, from which the selection committee

appointed can request proposals for further development, with suggestions as to how they might be developed so as to improve prospects for approval. No more than five proposals will be approved for full presentations to the Board annually. Such presentations require significant budgets and reviews. The Board is empowered to convene an ad hoc conference or a teleconference of experts to discuss areas of potential interest for conference proposals, topics and people for submission to the chairman.

The purpose of this attention to senior scholars of the Foundation is to build upon the mandate in linking the social sciences with public policies. In doing so, it seeks to expand its fourteen year history in grants to specific scholars, by awarding researchers with a strong track record in innovation in and knowledge of specific area of social needs, both current and anticipated, having broad social implications.

Transaction Publishers, as the largest single fiscal source of such HFSP grants, will provide a publishing base for the conference proceedings or special lectures that emanate from Foundation funds. In so doing, the individual recipients of these funds must provide an assurance to potential participants of serious publication potential. All inquiries on these senior scholar grants should be made to the administrative director of the Foundation, and awards will be subject to the rules and regulations governing the disbursement of all funds.

# SPECIAL AWARDS

The Horowitz Foundation provides seven special awards that may be granted for certain projects. These often carry an additional modest stipend beyond the customary amounts of a grant.

The seven areas are as follows:

## *Donald R. Cressey Award*

For work done at the empirical level that has direct implication for changes in criminal justice and penology practices.

## *Joshua Feigenbaum Award*

for empirical research on policy aspects of the arts and popular culture, with special reference to mass communication in advanced societies.

## *Eli Ginzberg Award*

for a project involving solutions to major health and welfare problems in urban settings on either regional or national levels.

## *Harold D. Lasswell Award*

for policy related projects in international relations and foreign affairs; especially those that link psychology and politics.

## *Robert K. Merton Award*

for studies in the relation between social theory and public policy that draw upon the specific history of American social science.

## *Martinus Nijhoff Award*

for policy implications of scientific, technological and medical research on a global scale, as viewed from a social scientific orientation.

## *John L. Stanley Award*

for a work that seeks to expand an understanding of the historical and ethical foundations of policy research.

# GRANT RECIPIENTS

2009

Samrat Bose, University at Albany, SUNY,  
Public Administration and Policy  
**Welfare Magnets: Migration and the Welfare  
Reforms of 1996**

Teresa Marie Derrick-Mills,  
George Washington University,  
Public Policy and Public Administration  
**How do Performance Data Inform Design and  
Management? Of Child Care Development in  
American States**  
[Special Recognition: John L. Stanley Award]

David Flores, University of Michigan,  
Sociology  
**Sources of Iraq War Veteran Political Attitudes:  
Support and Opposition**  
[Special Recognition: Harold D. Lasswell Award]

Robert Benjamin Frey, Columbia University,  
Sociomedical Sciences  
**Homecoming and Readjustment Experiences:  
Health Care for U.S. Military Veterans and  
Civilian Contractors**

Josephine W. Hahn, Harvard School of Public  
Health, Society, Human Development and Health  
**Understanding the Role of Trauma and Violence  
in Intimate Partner Violence Perpetration by  
Males in the General and Incarcerated  
Populations**  
[Special Recognition: Donald R. Cressey Award]

Daina Cheyenne Harvey, Rutgers University,  
Sociology  
**If This Thing Had Never Happened:  
Moving on from Hurricane Katrina**

*2009 continued*

Stephen Hess, The Brookings Institution,  
Governance Studies  
**American Political Cartoons, 1754-2010**  
[Special Recognition: Joshua Feigenbaum Award]

Shana R. Marshall, University of Maryland,  
Government and Politics  
**From Oil to Offsets: Rentier State Innovation  
and Enduring Authoritarianism in the  
Middle East**

Brian James McCabe, New York University,  
Sociology  
**Are Homeowners Better Citizens?  
Homeownership and Civic Engagement  
in Contemporary America**

Emily T. Molina, University of California,  
Santa Barbara, Sociology  
**Race and the Residential Foreclosure Crisis**  
[Special Recognition: Robert K. Merton Award]

Jonas Nahm, Massachusetts Institute  
of Technology, Political Science  
**Greening Grey Facades: Politics of Increasing  
Energy Efficiency in Existing Residential  
Buildings in Germany**  
[Special Recognition: Martinus Nijhoff Award]

Ann Owens, Harvard University,  
Sociology and Social Policy  
**Hidden Costs: The Plight of Urban  
Neighborhoods in the Face of Housing  
Policy Changes**  
[Special Recognition: Eli Ginzberg Award]

Fynnwin Prager, University of Southern California,  
Center for Risk and Economic Analysis of  
Terrorism Events  
**Distributional Impacts of a U.S. Federal  
Emissions Trading Scheme**

Aisha S. Ahmad, McGill University,  
Political Science

**Holy Warlords: The Rise of Islamist  
Movements in Afghanistan and Somalia**

[Special Recognition: Harold D. Lasswell Award]

Benedetta Berti Alberti, Tufts University,  
Fletcher School of Law and Diplomacy

**The Power of Politics vs. the Politics of Power:  
Exploring the Political Activism of Armed  
Groups and its Regulation**

[Special Recognition: John L. Stanley Award]

Michael James Boyle, City University of New York  
Graduate Center, Anthropology

**Declining City, Born Again Citadel:  
Evangelical Reconstitution of Urban Life in  
Postindustrial America**

Matthew Stephen Desmond,  
University of Wisconsin, Sociology

**Eviction and the Reproduction of Inner-City  
Poverty**

[Special Recognition: Eli Ginzberg Award]

Anna Duncan Johnson, Columbia University,  
Psychology

**Child Care Subsidies: Policy Tools for  
Enhancing the Lives of Low Income Children**

David Keenan, Northwestern University, History

**Organized Interests and the Process  
of Government in the Early American Republic:  
1783-1800**

[Special Recognition: Joshua Feigenbaum Award]

Jeffrey D. Lundy, University of California -  
San Diego, Sociology

**Keeping Up or Keeping Afloat?: How and Why  
American Households Overspend**

*2008 continued*

Quinn W. Mulroy, Columbia University,  
Political Science

**Private Litigation, Public Policy Enforcement:  
The Regulatory Power of Private Litigation and  
American Bureaucracy**

Elena E. Pokalova, Kent State University,  
Political Science

**One Man's Terrorist is Another Man's Freedom  
Fighter: Framing Dynamics in the Construction  
of Terrorist Populations**

Nicola Charlotte Searle, University of St. Andrews,  
Economics

**An Economic Analysis of Trade Secrets:  
Evidence from the Economic Espionage  
Act of 1996**

[Special Recognition: Martinus Nijhoff Award]

David Benjamin Skarbek, George Mason  
University, Economics *The Organizational*

**Problems of Organized Crime: How to Combat  
Thieves, Thugs, Terrorists and Traffickers**

[Special Recognition: Robert K. Merton Award]

Van C. Tran, Harvard University,  
Sociology and Social Policy

**Why Inequality Persists: Race, Class and  
Assimilation in Multi-Ethnic America**

Nazli Avdan, Duke University, Political Science  
**Border Security Policies and the  
 Territorialization of States in a  
 Globalizing World**

[Recipient of the Harold D. Lasswell Award in  
 International Relations and Foreign Affairs]

Christopher Andrew Bail, Harvard University,  
 Sociology

**Terrified: Counter-Terrorism Policy and  
 Collective Memory in the United States and  
 Great Britain**

Quintin H. Beazer, Ohio State University,  
 Political Science

**Supplying Insecure Property Rights:  
 The Case of Post-Communist Russia**

Deborah Lynn Becher, Princeton University,  
 Sociology

**Fair Property Policy: Lessons from Eminent  
 Domain for Private Development in  
 Philadelphia, 1997-2006**

William McCrea Cavert, Northwestern University,  
 History

**Making Pollution: Coal, Smoke, and  
 Society in Early Modern London**

[Recipient of the Martinus Nijhoff Award in Policy  
 Implications of Science]

Caitlin Cross-Barnet, John Hopkins University,  
 Sociology

**The Successful Black Marriage Study**

Jeffrey Edward Engels, University of Melbourne,  
 Australia, Development Studies

**Exit Strategies: A Post-Soviet Armenian  
 Case Study**

Ashley M. Fox, Columbia University, School of Public Health

**Poverty or Inequality as the Underlying Cause of HIV in Africa? The HIV-Poverty Thesis Re-examined**

[Recipient of the Robert K. Merton Award in Social Theory and Public Policy]

Lauren Bree Movius, University of Southern California, School of Communication

**Global Governance of the Internet: Towards a Multi-Stakeholder Policy Paradigm?**

[Recipient of the Joshua Feigenbaum Award in Mass Communication Policy]

Robert J. Riggs, Jr., University of Pennsylvania, Arabic and Islamic Studies

**The Socio-Political Philosophy of Shi'ite Religious Leadership and Its Social and Public Policy Implications for the Transnational Shi'ite Community**

Lawrence Peter Rubin, University of California, Los Angeles, Political Science

**Ideology, Power, and Threat Perception: Why States Fear Islamist Regimes**

[Recipient of the John L. Stanley Award in the Political and Ethical Foundations of Policy Research]

David S. Siroky, Duke University, Political Science

**Secession and Survival: Averting Conflict, Promoting Peace**

Jennifer Elaine Sykes, Harvard University, Sociology and Social Policy

**Paychecks and Parenting: Financial Decision-Making among Working Poor Parents**

[Recipient of the Eli Ginzberg Award in Health, Welfare, and Urban Policy]

Huong Trieu, University of Michigan, Political Science

**Building a Welfare State: A Case Study of Urban Healthcare Reform for Migrants in China**

Katrin B. Anacker, Virginia Tech, City and  
Regional Planning

**Upkeep Decisions of Homeowners:  
Psychology of Home Investment**

Blake C. Andrew, McGill University,  
Political Science

**Media Shortcuts: The Supply and Demand  
of Political Information**

[Recipient of the Feigenbaum Award in Mass  
Communication]

Aldo Civico, Columbia University in the  
City of New York, Anthropology

**Demobilization Process of Paramilitary in  
Medellin (Colombia)**

Qin Gao, Fordham University,  
Social Economics

**The Chinese Social Benefit System in Transition**

Margaret Garb, Washington University in  
St. Louis, History

**Housing the Urban Poor: Community  
Development Corporations**

Alexandra Gillies, University of Cambridge,  
Social and Political Sciences

**Governance Reform in Africa's Oil Sector**

Maria Agustina Giraudy, University of  
North Carolina at Chapel Hill, Political Science

**Undemocratic Regimes after Democratization:  
Argentina and Mexico**

Jennifer E.M. Hasty, University of Pennsylvania,  
Cultural Anthropology

**Fighting Corruption in Africa: Ghana and  
Beyond**

Molly J. Hurley-Depret, Graduate Center of the  
City University of New York, Anthropology

**Community and Violence after Peace Accords  
in North Ireland**

*2006 continued*

Joy L. Kraybill, The George Washington University,  
Health Policy

**Prosecuting Medicare Providers under  
the False Claims Act**

[Recipient of the Ginzberg Award in Health  
and Welfare]

Serena Laws, University of Minnesota at Minneapolis,  
Political Science

**Medical Debt, Legal Access, and Citizenship in  
the United States**

[Recipient of the Martinus Nijhoff Award in Policy  
Implications of Science]

Jennifer F. Lieb, Princeton University, Politics  
**Representation of Undocumented Immigrants  
in Democracies**

Avi M. Spiegel, University of Oxford,  
Near and Middle Eastern Studies

**In the Shadows of Violence: Islamism,  
Democratization and the State**

[Recipient of the Stanley Award in Political and  
Ethical Foundations of Policy Research]

Lori Wiebold, University of Kansas,  
Sociology

**County Government and Community  
Survival in Era of Globalization**

[Recipient of the Merton Award in Relations  
between Social Theory and Public Policy]

Joel M. Caplan, University of Pennsylvania,  
School of Social Policy & Practice

**The Effect of Victim Input on Parole Release  
Decisions**

Joseph A. Conti, University of California at  
Santa Barbara, Sociology

**Determinants of Dispute Resolution Outcomes  
in the World Trade Organization**

[Recipient of the Stanley Award in Political and  
Ethical Foundations of Policy Research]

Rafaela Mirjam Dancygier, Yale University,  
Political Science

**The Political Economy of Immigrant  
Integration in Europe**

Karen Benjamin Guzzo, University of  
Pennsylvania, Sociology

**Men's Multi-Partnered Fertility:  
Implications for Paternal Involvement**

Rodney Harrell, University of Maryland,  
Urban Studies and Planning

**Modern Segregation: Suburbanization and  
the Black Middle Class**

Dorle Hellmuth, Catholic University of America,  
World Politics

**Effects of Government Structures on Foreign  
Policy Decision-Making**

Ann Marie Hickey, University of Kansas, Sociology  
**Graying of an Epidemic: Social Policy and HIV/  
AIDS Education and Prevention**

[Recipient of the Ginzberg Award in Health and  
Welfare for 2005]

Andrea Morrell, City University of New York/  
Graduate Center, Anthropology

**Public Safety and Making a Living in an  
American Prison Town**

*2005 continued*

Liliokanaio Peaslee, Brandeis University,  
Politics and Social Policy

**Agents of Social Change? Police Engagement in  
Social Policy**

Patrick Thomas Sharkey, Harvard University,  
Sociology and Social Policy

**Social Isolation and Neighborhood Mobility  
over Childhood**

[Recipient of the Robert K. Merton Award in  
Social Theory and Public Policy for 2005]

Marketa Valkova, Brandeis University,  
Politics and Social Policy

**Human Rights in U.S., British, and French  
Foreign Policies**

Catherine K. Fennell, University of Chicago,  
 Department of Sociology  
**Public Housing in Chicago: Emplacing Welfare  
 and Well Being in Urban America**

Thurston Domina, City University of New York,  
 Department of Anthropology  
**The New Cosmopolitans: Educational  
 Segregation in the United States**

Maria Candelaria Garay, University of California  
 at Berkeley, Department of Political Science  
**Social Policy Regimes in Newly Liberalized  
 Economies**

Shang E. Ha, University of Chicago, Political Science  
**Membership, Friendship and Social Context:  
 Interracial Contact and Public Opinion toward  
 Immigrants and Immigration Control**

Panle Jia, Yale University, Department of Economics  
**What Happens When Wal-Mart Comes to Town:  
 Big Retailers Competition Effects on Small Retailers**

Kristine Eileen Mitchell, Princeton University,  
 Department of Politics  
**Trade Union Support for European Integration  
 and the European Social Agenda**

Yuksel Sezgin, University of Washington,  
 Political Science Department  
**Utilizing Religious Law as a Means of  
 Progressing Human Rights in Illiberal Politics**

Paige Skiba, University of California at Berkeley,  
 Department of Economics  
 Jeremy Tobacman, Harvard University,  
 Department of Economics  
**After the "Last Resort": Investigating the  
 Effects of Payday Loans**

Amy Melissa Widestrom, Syracuse University,  
 Political Science Department  
**Economic Inequality: Its Spatial Concentration  
 and Voter Participation**

Joseph Eugene Yi, University of Chicago,  
 Department of Political Science  
**Authoritative Churches and Racial Interaction**

Roberto Abadie, Graduate Center of the  
City University of New York, Department  
of Anthropology

**A Guinea Pig's Wage: Risk and Commodization  
in Pharmaceutical Research in America**

Jason Paul Casellas, Princeton University,  
Department of Politics

**From State Houses to Congress: Latino  
Representation in the United States**

[Recipient of the Stanley Award in Political and  
Ethical Foundations of Policy Research]

Nadejda Chapkina, Georgia State University,  
Department of Sociology

**(Re)Imagining the Post-Soviet Nation:  
Analyzing Anti-trafficking Campaigns in  
Russia and the Ukraine**

Howard Lee Cheek, Jr., Lee University and  
The United Methodist Church,  
Department of Political Science

**Federal Control and Social Justice: The Crime  
Bill and the USA Patriot Act in Action**

Fen Lin, The University of Chicago,  
Department of Sociology

**Dancing with Hands Cuffed: Media  
Commercialization and Political  
Development in China**

Dejan Lukic, Columbia University in  
the City of New York,

Department of Anthropology

**Human Smuggling: Everyday Crime in  
Southeast Europe**

Sawa Omori, University of Pittsburgh,  
Department of Political Science

**Origins of Financial Liberalization in  
Emerging Markets**

*2003 continued*

Nicole C. Quon, Yale University,  
Department of Epidemiology and Public Health,  
School of Medicine

**Decisions in Scientific Agencies: How Do the  
NIH and the FDA Balance Expert Opinions,  
Changing Agendas, and Political Pressure?**

[Recipient of the Ginzberg Award for Health and  
Welfare]

Rebecca Elizabeth Sager, University of Arizona,  
Department of Sociology

**The Politics of Religious Promise:  
State Implementation of Charitable  
Choice Practices**

Erkan Saka, Rice University,  
Department of Anthropology

**Mediating the European Union: Making of  
the EU News in Turkey**

Marc M. Sanford, The University of Chicago,  
Department of Sociology

**Consumption and Urban Neighborhoods:  
Leading Social Indicators of Neighborhood  
Health, Stability and Transition**

Sunil DasGupta, The Brookings Institution  
**Paramilitaries and Democracy**

Joseph E. Davis, University of Virginia  
**Paxil and Anxiety: Social and Ethical  
Implications of the New Pharmacology**

Kihong Eom, University of Kentucky  
**The Democracy of Political Contributions  
in the American States**

Drew Halfmann, The University of Michigan  
**Closing the Gap: Explaining the Content,  
Heterogeneity and Survival of Minority Health  
Policy Proposals**

Yinan He, Massachusetts Institute of Technology  
**Overcoming the Shadows of the Past:  
Interstate Reconciliation after Traumatic  
Conflicts**

Sallie L. Hughes, The University of Miami  
**Mediocracy?: Evolving Media-State Relations in  
Post-Authoritarian Mexico**

Galma Jahic, Rutgers University  
**Trafficking in Women: Thinking Globally,  
Researching Locally**

Christopher Mele, State University of New York  
at Buffalo  
**Defining the Eligible Public Housing Tenant in  
New York City**

Elizabeth Zeldin, New York City Independent  
Budget Office  
**Effects of Federalization on Municipal Finance  
in Russia's Urban Areas**

Edume Zoco, University of Notre Dame  
**The Collapse of Party Systems in Western  
Europe and Latin America**

Ana Alejandra Germani, Argentine National Library

**From Antifascism to the Institutionalization of Sociology in Argentina**

Cora Sol Goldstein, University of Chicago

**Imaging Human Rights: Film and Reeducation in American Occupied Germany**

Athena S. Leoussi, University of Reading

**Cultural Policies and the Development of Czech National Art**

Dmitry Shlapentokh, Indiana University, South Bend

**Crime in the Russian Revolution of 1917**

Rohit Daniel Wadhvani, University of Pennsylvania

**Citizen Savers: Working Households and Financial Institutions: 1830-1930**

Marc David Weiner, Princeton University

**Parties in Administration: A Comprehensive Model of Party Responsibility**

Christal Killen Whelan, Boston University

**Agonshu: A Japanese New New Religion**

Amy Eisenberg, University of Arizona  
**Ethnoecological Studies in Andean  
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Antoinette Handley, Princeton University  
**Business Sector and Economic Policy Making:  
Four African Cases**

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**The Politics of Wealth Transfer Taxation**

Martin Jay Sweet, Florida Atlantic University  
**Affirmative Action in Action: Impact of Minority  
Enterprise Programs**

Joseph Wong, University of Wisconsin  
**Political Transaction and Welfare Reform in  
Taiwan and South Korea**

Mitchell G. Bard, American-Israeli Cooperative  
Enterprise

**Israeli Policy Toward Ethiopian Jews**

John J. Furedy, University of Toronto

**Merit or Equity: Academic Criteria in  
Canadian Tenure Stream Advertisements**

Jessica Piombo, Massachusetts Institute of  
Technology

**The Political Party System in Post-Apartheid  
South Africa**

Stanley Renshon, The City University of  
New York

**One America?: Presidential Leadership and  
the Dilemma of Diversity**

Dara Z. Strolovitch, Yale University

**Closer to a Pluralist Heaven: Advocacy Groups  
and the Politics of Representation**

Huiyan Zhang, The Johns Hopkins University  
**Reconsidering the Cost of Business Cycles with  
Uncertainty and Habit Formation**

Bruce G. Peabody, University of Texas, Austin  
**Recovering the Political Constitution:  
Changing Regimes of Nonjudicial Interpretation**

Rossana Castiglioni, University of Notre Dame  
**Retrenchment vs. Maintenance: The Politics of  
Welfare State Reform in Chile and Uruguay**

Kim Michelle Williams, Cornell University  
**Boxed In: The United States Multiracial  
Movement**

Daniel N. Lipson, University of Wisconsin  
**The New Politics of Affirmative Action:  
Reforming Admissions Policies in Public  
Universities**

Mark Antonin Drumble, Columbia University  
**Selfish Altruism or Shared Compact:  
Mechanisms to Promote Developing Nation  
Participation in Environmental Agreements**

## GRANT INFORMATION

The Horowitz Foundation, as of the 2009 distribution, approves approximately fifteen grants each year, in amounts ranging from \$2,500 to \$5,000 per grant.

The Foundation makes targeted grants for work in major areas of the social sciences, including anthropology, area studies, economics, political science, psychology, sociology, and urban studies, as well as newer areas such as evaluation research. Preference will be given to projects that deal with contemporary issues in the social sciences and issues of policy relevance, and to scholars in the initial stages of their career. Awards are not allocated so as to ensure a representative base of disciplines, but are approved solely on merit.

Applicants are not required to be U.S. citizens or U.S. residents. Candidates may propose new projects, and they may also solicit support for research in progress, including final work on a dissertation, supplementing research in progress, or travel funds. Preference will be given to advanced graduate students and untenured assistant professors and instructors.

Grants are normally made for one year on a non-renewable basis. Awards will be made to individuals, not institutions, and if processed through an institution, a waiver for overhead is requested. Those receiving awards are asked to file a copy of the product of their research, no later than one year after completion. Recipients are expected to acknowledge assistance provided by the Foundation in any publication resulting from their research. Awards are publicized in appropriate professional media and on the Foundation website, [www.horowitz-foundation.org](http://www.horowitz-foundation.org).

The following categories of proposals will be given priority in determining recipients:

- (1) Proposals that address large-scale geographical situations, and those with wider policy applications beyond the research design itself.

- (2) Proposals that rely on empirically informed, large sample sizes, often in historically rich time series, that make few presumptions of application.
- (3) Proposals by young scholars, who are early in their careers, and who may find it difficult to seek and receive grants from other sources.
- (4) Proposals that are theoretically rich and emphasize policy issues of broad meaning to the larger public sphere.
- (5) Proposals in an early stage of surveys and reports where few previous research applications are available at this time.

These are guidelines, not mandates, and are not listed in order of importance. Each proposal will be evaluated on its merit by the Board.

# APPLICATION GUIDELINES

## **To obtain an Application:**

- Download from our website: *www.horowitz-foundation.org*.
- Request by e-mail: *info@horowitz-foundation.org*.
- Request by mail: The Horowitz Foundation for Social Policy, Box 7, Rocky Hill, NJ 08553-0007.

## **To submit an application:**

- Applications must be sent via e-mail to *applications@horowitz-foundation.org*. Sending your application electronically accelerates processing and receipt acknowledgment and enables us to advise you of missing information or errors in time for you to correct the application.
- You may also send supplemental materials via regular mail: The Horowitz Foundation for Social Policy, Box 7, Rocky Hill, NJ 08553-0007.
- UPS, DHL, Fed Ex and other private delivery services do not deliver to P.O. Box addresses. US Postal Service Standard Mail, Express Mail, and Priority Mail will deliver to our P.O. Box address.

## **Please note:**

- All submitted applications, letters, and documents must be in English.
- E-mail letters of support must be sent via the supporter's organizational e-mail account and if possible, be displayed upon the letterhead with which the supporter is affiliated.
- Applications for 2010 Awards will be accepted from July 1, 2010 through January 31, 2011. You are encouraged to apply as early as possible.
- Submitted materials become the property of the Foundation and will not be returned. Applicants should not send originals or other materials that cannot be replaced.
- Applications and all required materials must be postmarked no later than January 31<sup>st</sup> of each year and received no later than February 10. Early submission is encouraged. Incomplete applications cannot be considered or processed.
- Winners of awards will be announced in June of each year.

# GRANT APPLICATION

**Please complete and save the cover sheet as a separate Microsoft Word document. Also complete and sign the application which may be submitted as a single Microsoft Word document or as a PDF document.**

Separate cover sheet must list:

- Applicant's name (last name first, then other names), contact information, academic affiliation and the title of the project as well as the names and e-mail addresses of two persons from whom you will solicit letters of support.
- A 50-word abstract stating your research objectives, including what is being done and why.
- Information on methodology to be used (25 words), including how you will pursue your research objectives.
- A 50-word summary of the expected outcomes, with emphasis on the policy implications of your research.

The application form must contain the following information:

1. Applicant's name in full.
2. Mailing address, telephone number and e-mail address. Please include both home and office address, and indicate which you prefer that we use.
3. Place and date of birth and citizenship.
4. Academic affiliation and title as well as present position.
5. Title of the project for which you are applying for grant support.
6. Purpose of the research (750 words or less). Please provide specific information about the research design, methodology, and policy implications of the project.

7. Budget for the projects including estimated total expenses, and amounts by category of expense. (Note: Budget information is optional but preferable.)
8. Expected completion date.
9. Amount of support sought (Note: grants are normally \$2500-\$5,000 and are not renewable.)
10. Date when the applicant would like to receive the support. (Note: decisions are made in May of each year for applications postmarked no later than January 31st. Awards will be made immediately thereafter.)
11. Names, addresses, plus electronic mail addresses of two persons from whom you will solicit letters of support. (Note: your application cannot be considered until these materials are received).
12. A final report is expected on the results of the research or a publication resulting from the research. Please indicate the date on which you will submit your final report.
13. Please insert your curriculum vita into this area of the application form. The curriculum vita should include education, previous positions held, appointments, academic honors or prizes, as well as publications.
14. Please include the following with your application: Two letters in support of the project must be provided with each application. The application cannot be considered until these materials are received. The letter may be sent directly to the Foundation from your supporter either by e-mail (**applications@horowitz-foundation.org**) or to our mailing address (shown below). Applicants may send letters of support with the application, if the letters are sent in a sealed envelope bearing the signature of the person who has signed the letter written across the envelope seal.

**Grants are made solely to the individual named on this application. No payment will be granted for institutional overheads.**

Signed: \_\_\_\_\_

Print Name: \_\_\_\_\_

Social Security No.: \_\_\_\_\_

Date Submitted: \_\_\_\_\_



By checking this box I certify that I am the individual whose name and social security number appear above and that I am the person who generated the material contained in this application. (For use with electronic submissions only)

Deadline for submissions and supporting materials:  
January 31, 2011

**E-Mail this application to:**  
***applications@horowitz-foundation.org***

**Mail this application to:**

**2010 Awards  
The Horowitz Foundation  
for Social Policy  
Box 7  
Rocky Hill, NJ 08553-0007**

# PUBLICATIONS ASSISTED BY GRANTS

## Publications Resulting from Grants

[from July 1, 2002 to June 1, 2010]

Bard, Mitchell G., *From Tragedy to Triumph: The Politics behind the Rescue of Ethiopian Jewry*, Westport, CT and London: Praeger Publishers, 2002, 217 pp. Grant recipient for “Israeli Policy toward Ethiopian Jews” (1999).

Castiglioni, Rossana, “The Politics of Retrenchment: The Quandaries of Social Protection Under Military Rule in Chile, 1973-1990, *Latin Americans Politics and Society*, Volume 43, No. 4, Winter 2001. Grant recipient for “Retrenchment versus Maintenance: The Politics of Welfare State Reform in Chile and Uruguay” (1998).

Castiglioni, Rossana, *The Politics of Social Policy Change in Chile and Uruguay*, New York, NY: Routledge, 2005, 168 pp. Grant recipient for “Retrenchment versus Maintenance: The Politics of Welfare State Reform in Chile and Uruguay” (1998).

Davis, Joseph E., “Suffering, Pharmaceutical Advertising, and the Face of Mental Illness,” *The Hedgehog Review*, Fall, 2006. pp. 62-67.

Drumble, Mark A., “Poverty, Wealth, and Obligation in International Environmental Law,” *Tulane Law Review*, Volume 76, No. 4, March 2002. 1998 Grant recipient for “Selfish Altruism or Shared Compact, Mechanisms to Promote Developing Nation Participation in Environmental Agreements” (1998).

Gao, Qin. “The Chinese Social Benefit System in Transition: Reforms and Impacts on Income Inequality.” *Annals of the New York Academy of Sciences* special volume “*Reducing the Impact of Poverty on Health and Human Development: Scientific Approaches*,” Forthcoming, 2008. Grant recipient for “The Chinese Social Benefit System in Transition” (2006).

Garay, Maria Candelaria. "Social Policy and Collective Action: Unemployed Workers, Community Associations and Protest in Argentina," *Politics & Society* 35, June 2007. Grant recipient for "Social Policy Regimes in Newly Liberalized Economics" (2004).

Germani, Ana Alejandra, *Gino Germani: Del Antifascismo a la Sociología*. Buenos Aires: Taurus Ediciones, 2004. 412 pp.; and *Gino Germani: Antifascism and Sociology*. New Brunswick and London: Transaction Publishers. 2008. 248 pp.

Guzzo, Karen, "Competing Obligations, Child Support, and Men's Visitation with Nonresidential Children," was presented at the National Survey of Family Growth Research Conference, October 19-20, 2006, pending publication at *Journal of Family Issues*.

Haffmann, Drew, Jesse Rude, Kim Ebert. "The Biomedical Legacy in Minority Health Policy-Making, 1975-2002." *Research in the Sociology of Healthcare, Volume 23*, 245-275, 2005. Grant recipient for "Closing the Gap: Explaining the Content, Heterogeneity, and Survival of Minority Health Policy Proposals" (2002).

Handley, Antoinette. *Business, Government, and the Privatization of the Ashanti Goldmine Company in Ghana*. Prepared for the 2004 Annual Meeting of the African Studies Association, New Orleans, Louisiana. Grant recipient for "Business and Economic Policy-making in Africa: Four African Cases" (2000).

Katz, James E. *Mobile Communication: Dimensions of Social Policy*, New Brunswick, NJ: Transaction Publishers, 2011. Grant recipient for "Mobile Communication and Social Policy Conference" held on October 9-11, 2009 at the Heldrich Hotel, New Brunswick, New Jersey.

Leoussi, Athena S. "The Ethno-Cultural Roots of National Art," in *Nations and Nationalism* (2004). Grant recipient for "Cultural Policies and the Development of Czech National Art" (2001).

Lin, Fen, "Dancing Beautifully but with Hands Cuffed? A Historical Review of Journalism Formation during Media Commercialization in China." *Perspectives*, 7(2): 79-98, 2006. Grant recipient for "Dancing with Hands Cuffed: Media Commercialization and Political Development in China" (2003).

Peabody, Bruce Garen, *Recovering the Political Constitution: Nonjudicial Interpretation, Judicial Supremacy, and the Separation of Powers*, dissertation at the University of Texas, faculty of the graduate school, 2001 (Ph.D.) 307 pp. Grant recipient for "Recovering the Political Constitution: Changing Regimes Nonjudicial Interpretation" (1998).

Pollack, Sheldon D., *Refinancing America: The Republican Antitax Agenda*, Albany, NY: State University of New York Press, 2003. Grant recipient for "The Politics of Wealth Transfer Taxation" (2000).

Renshon, Stanley A., *The 50% American: Immigration and National Identity in an Age of Terror*, Washington, D.C.: Georgetown University Press, 2005. 273 pp. Grant recipient for "One America?: Presidential Leadership and the Dilemma of Diversity" (1999).

Strolovitch, Dara Z., *Affirmative Advocacy: Race, Class, and Gender in Interest Group Politics*, Chicago, IL: University of Chicago Press, 2007. 284 pp. Grant recipient for "Closer to a Pluralist Heaven: Advocacy Groups and the Politics of Representation," (1999).

Whelan, Christal K., "Agonsho: A Japanese New Religion." Completion of dissertation at Boston University on Topic of the same title, December 22, 2003.

Williams, Kim, *Mark One or More: Civil Rights in Multiracial America*, Ann Arbor: University of Michigan Press, 2006. 208 pp. Grant recipient for "Boxed In: The United States Multiracial Movement," (1998).

Wong, Joseph, *Healthy Democracies: Welfare Politics in Taiwan and South Korea*, Ithaca, NY: Cornell University Press, 2004. 232 pp. (paperback, 2006) Grant recipient for "Political Transaction and Welfare Reform in Taiwan and South Korea," (2000).

# FREQUENTLY ASKED QUESTIONS AND ANSWERS

## **Is my research the type of application that the HFSP is seeking?**

Determine if your material is: A research proposal in (any of) the areas of social sciences which has policy implications. Research objectives and the method by which you will achieve the objectives should be clearly stated.

## **Where can I obtain an application?**

The application form can be downloaded from our website ([www.horowitz-foundation.org](http://www.horowitz-foundation.org)). Click on 'APPLICATION' on the home page, scroll to the bottom of the page and click on the blue box designated APPLICATION. You will be able to save the document, enter your information and resave the document as your own.

Applications may also be requested by email: [info@horowitz-foundation.org](mailto:info@horowitz-foundation.org) or requested by mail: The Horowitz Foundation for Social Policy, Box 7, Rocky Hill, NJ 08553-0007.

## **How do I submit an application?**

Electronic submissions are strongly encouraged. Email your application (as an attachment) to: [Applications@horowitz-foundation.org](mailto:Applications@horowitz-foundation.org). You do not need to file a hard copy of the application if you file electronically.

Applications may also be mailed to: The Horowitz Foundation for Social Policy, Box 7, Rocky Hill, NJ 08553-0007.

## **What is the deadline for applications?**

Electronic applications must be received no later than January 31<sup>st</sup> of each year (eg. by January 31, 2011 to be considered for 2010 awards).

Applications which are mailed must be postmarked no later than January 31<sup>st</sup> of each year and received by February 10<sup>th</sup>. (Please note that UPS, DHL and Federal Express and other private delivery services **DO NOT** deliver to P.O. boxes. US Postal Service Standard Mail, Express Mail and Priority Mail is deliverable to the Foundation's P.O. Box.)

**How will I know if my application has been received and is complete?**

You will be notified by email that your application has been received and whether or not it is complete. Sending your application in advance of the deadline allows you time to make necessary adjustments.

**May letters of support be sent electronically?**

We accept and encourage electronic letters of support. Your supporter may email this document to: **Applications@horowitz-foundation.org**. The email must be sent via the supporter's organizational email account and, if possible, be displayed upon the letterhead with which the supporter is affiliated.

The deadline for emailed or airmailed Letters of Support is January 31<sup>st</sup> of each year.

**Will the Horowitz Foundation make an award in the name of our institution?**

Awards are issued small grants to individuals; large grant awards may include the name of the home-based institution.

**Must I be a US citizen or a US resident to apply?**

Neither US citizenship nor US residency is required.

**What must I do to apply for a special award?**

Nothing beyond the standard application is required. All applicants are automatically eligible for the special awards. Special awards are designated to recipients at the discretion of the Trustees based upon the merits of the proposal for any particular special award and are not necessarily conferred every year.

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For further information contact Maureen Feldman, administrator of the HFSP. She can best be reached at [mfeldman@transactionpub.com](mailto:mfeldman@transactionpub.com)



**The Horowitz Foundation for Social Policy**  
PO Box 7  
Rocky Hill, NJ 08553-0007  
[www.horowitz-foundation.org](http://www.horowitz-foundation.org).

*Forwarding and address correction requested*

**Research Grants for the Social Sciences**